Upper Left Wealth Management, LLC

Client Privacy Notice

Effective Date: April 1, 2026

FACTS	What does Upper Left Wealth Management, LLC ("ULWM") do with your personal information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: • Social Security number • Income and employment information • Residential address and contact information • Account balances and holdings • Transaction history • Investment objectives, goals, and risk tolerance *When you are no longer our client, we continue to protect and share your information as described in this notice, as permitted by law.*
How?	All financial companies need to share customers' personal information to run their everyday business. Below we list the reasons financial companies can share their customers' personal information, the reasons ULWM chooses to share, and whether you can limit this sharing.

Reasons We Can Share Your Personal Information

Reasons we can share your personal information	Does ULWM share?	Can you limit this sharing?
For our everyday business purposes — such as to provide advisory services, process transactions, maintain accounts, or respond to legal or regulatory matters	**Yes**	**No**
For our marketing purposes — to offer our products and services to you	**Yes**	**No**
For joint marketing with other financial companies	**No**	We do not share
For our affiliates' everyday business purposes — information about your transactions and experiences	**No**	We do not share
For our affiliates' everyday business purposes — information about your creditworthiness	**No**	We do not share
For our affiliates to market to you	**No**	We do not share
For non-affiliates to market to you	**No**	We do not share

What You Should Know

How does ULWM protect my personal information?

To protect your personal information from unauthorized access and use, we maintain physical, electronic, and procedural safeguards that comply with federal standards.

How does ULWM collect my personal information?

We collect your personal information, for example, when you:

- enter into an advisory relationship with us
- complete account applications or other forms
- provide financial information for planning or investment purposes
- open or maintain accounts through custodians or broker-dealers
- buy or sell securities

We may also collect your personal information from other parties, such as custodians, broker-dealers, and other financial institutions.

Why can't I limit all sharing?

Federal law gives you the right to limit sharing only for:

- affiliates' everyday business purposes — information about your creditworthiness

- affiliates to market to you
- non-affiliates to market to you

State laws and individual companies may provide additional rights.

Definitions

Affiliates	Companies related by common ownership or control.
Non-affiliates	Companies not related by common ownership or control.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you.

Questions?

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